

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Docket No.:0072-IS

Inventors: SHAWN ZHU ET AL.

Page 1 of 2

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL  
UNDER 37 CFR 1.53(b)

Sir:

Enclosed herewith for filing are:

1. The patent application of:

Inventor(s): SHAWN ZHU,  
CENK R. BURGAZLI,  
YUN-PENG ZHU and  
JAMES L. HAZEN

Title: "AMINE AND QUARTERNARY AMMONIUM SALT  
DERIVATIVES OF GLYCIDYL ETHERS AND GLYCIDYL  
ESTERS"

Total pages -19

2. Application
  - a. ☒ New Application
  - b. ☐ Continuation ☐ Divisional ☐ Continuation-in-part  
of U. S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.
3. ☒ The new application being transmitted claims the benefit of prior copending Provisional  
U.S. Application: 60/281,899, filed April 5, 2001.
4. ☐ Drawings ( - ) sheets of drawings (informal)
5. ☒ Oath or Declaration (Total pages - 4)
  - a. ☒ Newly executed
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional application)
  - c. ☐ Unsigned
6. ☐ Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath  
or declaration is supplied under Box 4 above, is considered as being part of the  
disclosure of the accompanying application and is hereby incorporated by reference  
therein.
7. ☒ An assignment of the invention to CROMPTON CORPORATION.
8. ☐ Associate Power of Attorney
9. ☒ Information Disclosure Statement/PTO 1449
10. ☐ Preliminary Amendment

11002 S. U. S. PTO  
10 082295  
02/26/02

1051 U. S. PTO  
02/26/02

11002 S. U. S. PTO  
10 082295  
02/26/02

11. (X) Return Receipt Postcard
12. (X) Form PTO-1595 (Assignment Recordal Form)
13. The fee has been calculated as shown below:

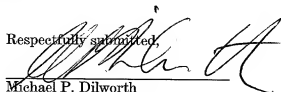
	Claims Filed	Extra Claims	Rate	Fee
Basic Fee				\$740.00
Total Claims	6-20	-0-	X \$18	-0-
Independent Claims	3-3	-0-	X \$84	-0-
[ ] Multiple dependent claims presented			+ \$280	-0-
			Total Fee	\$740.00

14. (X) Charge Deposit Account No. 23-2656 in the amount of \$ 740.00
15. (X) The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-2656 any additional filing fees required under 37 CFR 1.16 and any patent application processing fees under 37 CFR 1.17.
16. (X) The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-2656; any patent application processing fees under 37 CFR 1.17 and any filing fees under 37 CFR 1.16 for presentation of extra claims.

Copies in duplicate are enclosed

Date: 2/25/02

Respectfully submitted,

  
 Michael P. Dilworth  
 Attorney for Applicant(s)  
 Reg. No. 37,311

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 Docket No. 0072-IS

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 Washington, D.C. 22031

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